

12/13/01

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PTO/SB/05 (4/98)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No	069349.0120
First Inventor or Application Identifier	Marcus B. Gohlke
Title	Compositions Comprising Beta Glucan
Express Mail Label No	EL 905241365US

APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input checked="" type="checkbox"/>	• Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		
2. <input checked="" type="checkbox"/>	Specification	[Total Pages	24]
	(preferred arrangement set forth below)		
	<ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		
3. <input type="checkbox"/>	Drawing(s) (35 U.S.C. 113)	[Total Sheets]
4. Oath or Declaration	[Total Pages <input type="checkbox"/>]		
a. <input checked="" type="checkbox"/>	Newly executed (original or copy)		
b. <input type="checkbox"/>	Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)		
i. <input type="checkbox"/>	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).		
5. <input type="checkbox"/>	Microfiche Computer Program (Appendix)		
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	<ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 		
ACCOMPANYING APPLICATION PARTS			
7. <input type="checkbox"/>	Assignment Papers (cover sheet & document(s))		
8. <input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney		
9. <input type="checkbox"/>	English Translation Document (if applicable)		
10. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
11. <input type="checkbox"/>	Preliminary Amendment		
12. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
13. <input checked="" type="checkbox"/>	* Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12) Status still proper and desired		
14. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)		
15. <input type="checkbox"/>	Other:		

*** NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information Examiner _____ Group / Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Insert Customer No. or Attach bar code label here	<input checked="" type="checkbox"/> Correspondence address below			
Name	R. William Beard, Jr.				
Address	Baker Botts L.L.P. 910 Louisiana Street				
City	Houston	State	Texas	Zip Code	77002-4995

the Department of Defense. The Department of Defense is the largest employer in the state of Florida, and the largest employer in the country.

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 700.00)

Complete if Known

Application Number	
Filing Date	December 13, 2001
First Named Inventor	Marcus B. Gohlke
Examiner Name	
Group Art Unit	
Attorney Docket No.	068349.0120

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number
 Deposit Account Name
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	<input type="text"/>
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130 Non-English specification	<input type="text"/>
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	<input type="text"/>
112	920*	112	920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55 Extension for reply within first month	<input type="text"/>
116	390	216	195 Extension for reply within second month	<input type="text"/>
117	890	217	445 Extension for reply within third month	<input type="text"/>
118	1,390	218	695 Extension for reply within fourth month	<input type="text"/>
128	1,890	228	945 Extension for reply within fifth month	<input type="text"/>
119	310	219	155 Notice of Appeal	<input type="text"/>
120	310	220	155 Filing a brief in support of an appeal	<input type="text"/>
121	270	221	135 Request for oral hearing	<input type="text"/>
138	1,510	138	1,510 Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55 Petition to revive - unavoidable	<input type="text"/>
141	1,240	241	620 Petition to revive - unintentional	<input type="text"/>
142	1,240	242	620 Utility issue fee (or reissue)	<input type="text"/>
143	440	243	220 Design issue fee	<input type="text"/>
144	600	244	300 Plant issue fee	<input type="text"/>
122	130	122	130 Petitions to the Commissioner	<input type="text"/>
123	50	123	50 Processing fee under 37 CFR 1.17(d)	<input type="text"/>
126	180	126	180 Submission of Information Disclosure Stmt	<input type="text"/>
581*	4	581	40 Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.123(a))	<input type="text"/>
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	710	279	355 Request for Continued Examination (RCE)	<input type="text"/>
169	900	119	900 Request for expedited examination of a design application	<input type="text"/>
Other fee(s) (specify):				<input type="text"/>
*Applicable only for filing a request for reexamination.				<input type="text"/>
SUBTOTAL (3) (\$ 0-)				<input type="text"/>

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